

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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## PATENT APPLICATION

## REQUEST FOR FILING APPLICATION

**Under Rule 53(a), (b) & (f)**

**(No Filing Fee or Oath/Declaration)**

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



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## RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 283665

M#

000425 BT

Clien Ref

Date:

September 27, 2001

This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

Complete) Title:

Fermentation Process for the Preparation of L-Amino Acids Using Strains of the Family  
Enterobacteriaceae

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).
4. 44 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language
6. 27 Numbered claim(s); and
7. ☒ Drawings: 5 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/237,610	October 4, 2000	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) DE 100 48 605.3	September 30, 2000	(2) DE 100 55 516.0	November 9, 2000
(3) DE 101 30 192.8	June 22, 2001	(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 3 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_

12. ☐ This is a reissue of Patent No. \_\_\_\_\_
13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 60/ 237,610 filed October 4, 2000  
No. / filed \_\_\_\_\_  
No. / filed \_\_\_\_\_  
No. PCT/ / filed \_\_\_\_\_, which

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre**-filing confirmation **required**)

- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional): \_\_\_\_\_

19. ☒ **Attached: Preliminary Amendment**

20. This application is made by the following named inventor(s) **(Double check instructions for accuracy.):**  
(Listing of inventor(s) not a requirement, but list if known)

(4) Inventor	Mechthild		RIEPING
	First	Middle Initial	Family Name
Residence	Bielefeld	GERMANY	GERMANY
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Mailing Address			
(include Zip Code)			

(2) Inventor	Christine		BASTUCK
	First	Middle Initial	Family Name
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	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(3) Inventor	Thomas		HERMANN
	First	Middle Initial	Family Name
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	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor	Georg		THIERBACH
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	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



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By: Atty: Michael A. Sanzo Reg. No. 36912

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Atty/Sec: MAS/AMX

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments